

Π_2^1 -logic and ordinals

Dedicated to Jean-Yves Girard on the occasion of his 60th birthday

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- J-Y G: Proof theory and logical complexity I. Bibliopolis 1987

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- Quantifiers analysed using eigen variables
- Subformula property
- Cut rule

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Up: Given a falsification of Γ , then by induction over the construction of $TREE(\Gamma)$ we get a branch in $TREE(\Gamma)$ with all formulas falsified and the branch is not secured.

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 - Quantify over all not-secured branches

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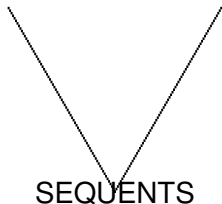
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- A node $\langle \alpha_0, \dots, \alpha_{k-1} \rangle$ in $\text{ORDINAL}(\alpha)$ is Γ -secured if the substitution tree $\text{TREE}(\Gamma)\alpha_0, \dots, \alpha_{k-1}/o_0, \dots, o_{k-1}$ is secured

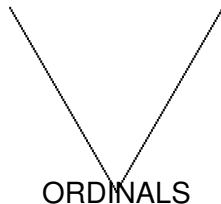
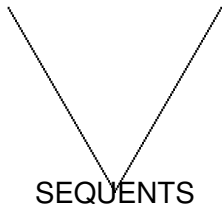
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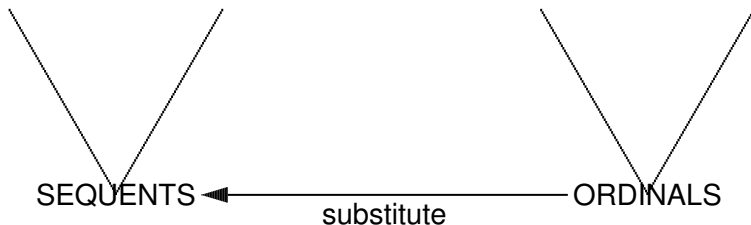
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- $\text{ORDINALS}(\alpha, \Gamma)$ is secured for all α if and only if Γ is valid in β -logic

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For Γ to be valid in β -logic is a property of $\text{ORDINALS}(\omega, \Gamma)$

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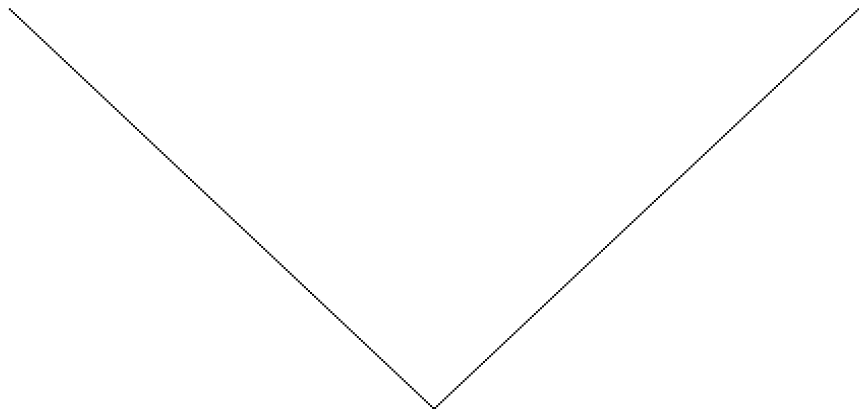
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- $G[\alpha]$ is well founded iff $\alpha < \gamma$

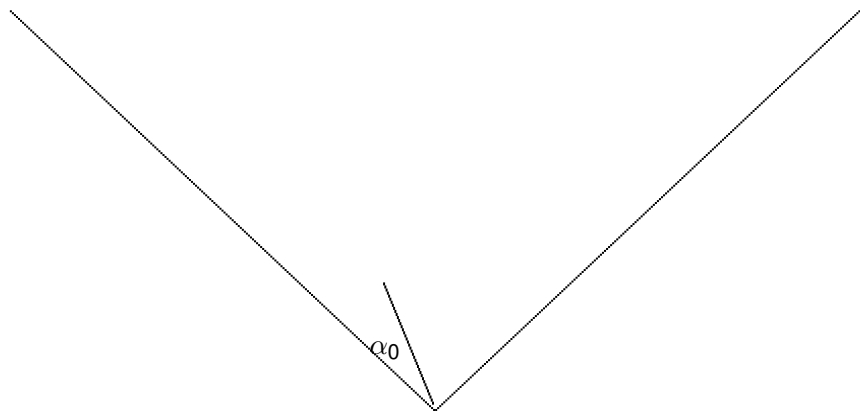
Dilators 1

The theory of strongly well founded homogeneous trees can be rephrased in a categorical framework. Note the following situation with $f \in I(\alpha, \beta)$ – an increasing function from α to β



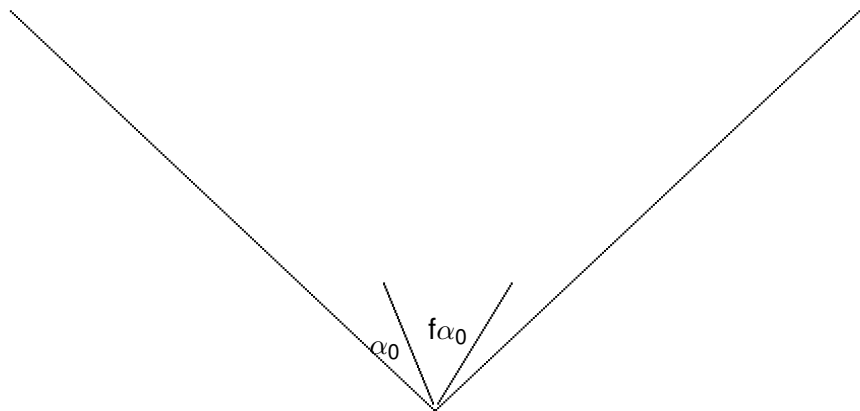
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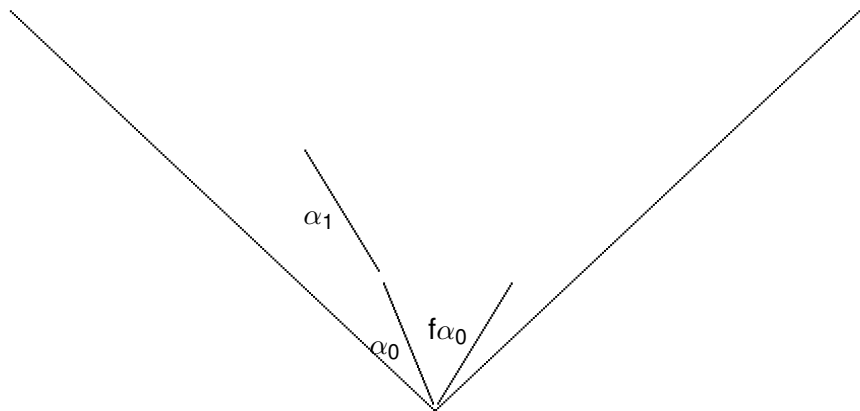
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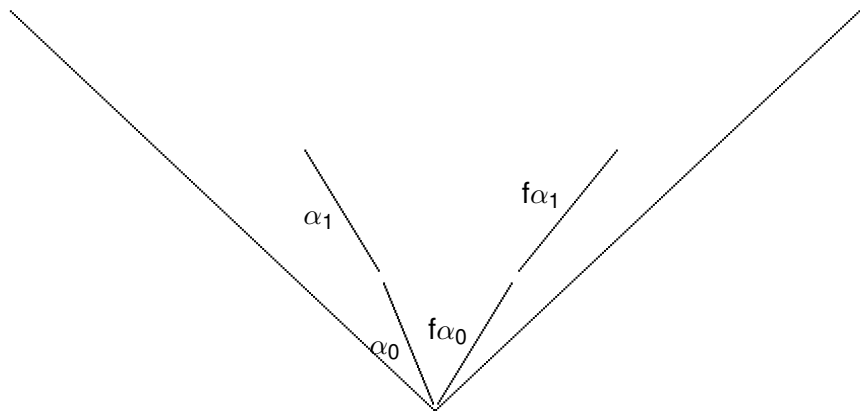
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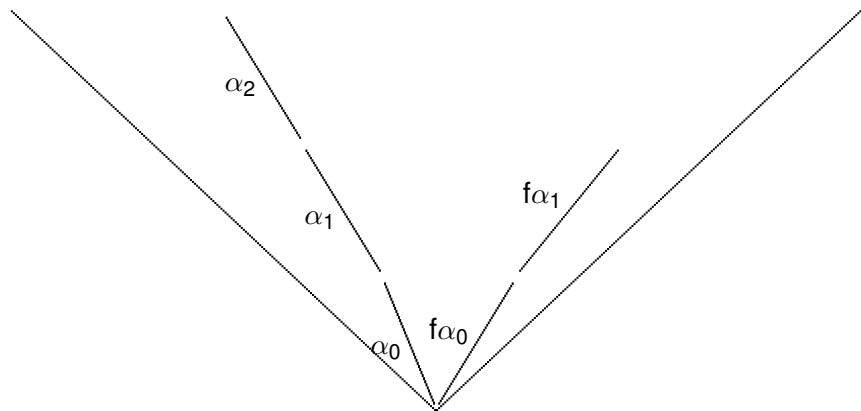
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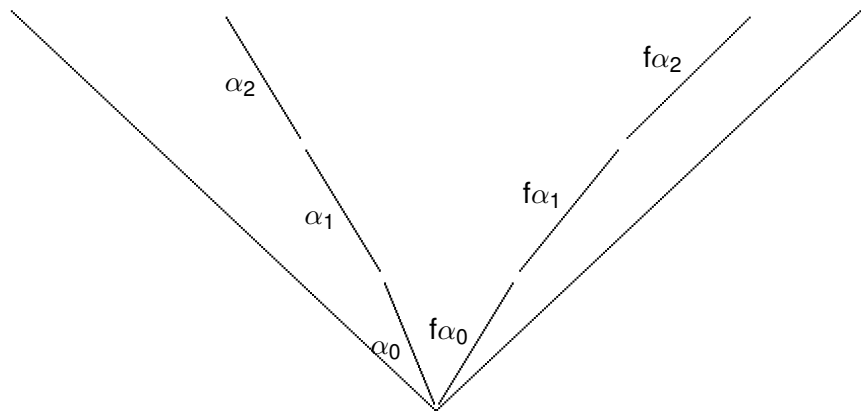
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- The corresponding dilator gives the ordinal function $\alpha \mapsto 2^\alpha$

Logical levels

Logic — to think from assumptions

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Below I will sketch a theory of ordinals

The first ordinals

We represent the finite numbers as unary trees

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$$\cdot = 0 \quad \dot{\cdot} = 1$$

The first ordinals

We represent the finite numbers as unary trees

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Then the first infinite numbers

$$\begin{array}{c} \cdot \\ / \quad \backslash \\ \cdot \quad \cdot \end{array} = \omega \quad \begin{array}{c} \cdot \\ / \quad \backslash \\ \cdot \quad \cdot \\ | \\ \cdot \end{array} = \omega + 1 \quad \begin{array}{c} \cdot \\ / \quad \backslash \\ \cdot \quad \cdot \\ | \\ \cdot \\ | \\ \cdot \end{array} = \omega + 2 \quad \begin{array}{c} \cdot \\ / \quad \backslash \\ \cdot \quad \cdot \\ | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \end{array} = \omega + 3$$

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$$S < T \Leftrightarrow S \leq \langle T \rangle \vee (\langle S \rangle < T \wedge \langle S \rangle < \langle T \rangle)$$

A decision tree

$A < B$

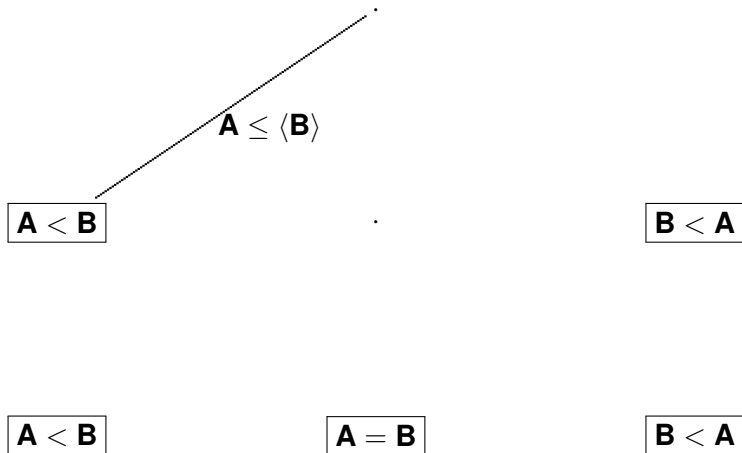
$B < A$

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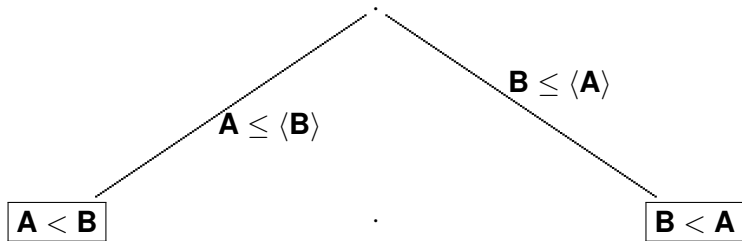
$A = B$

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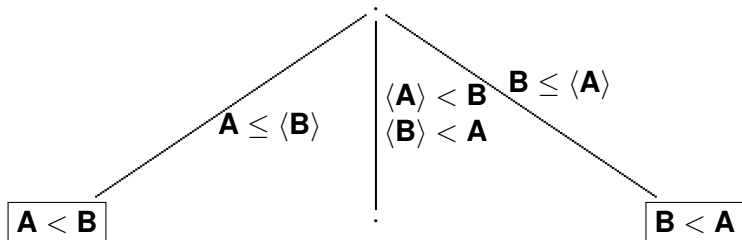


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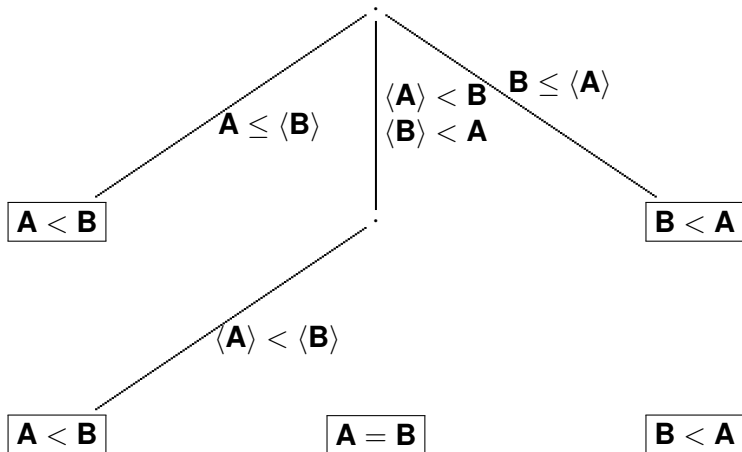


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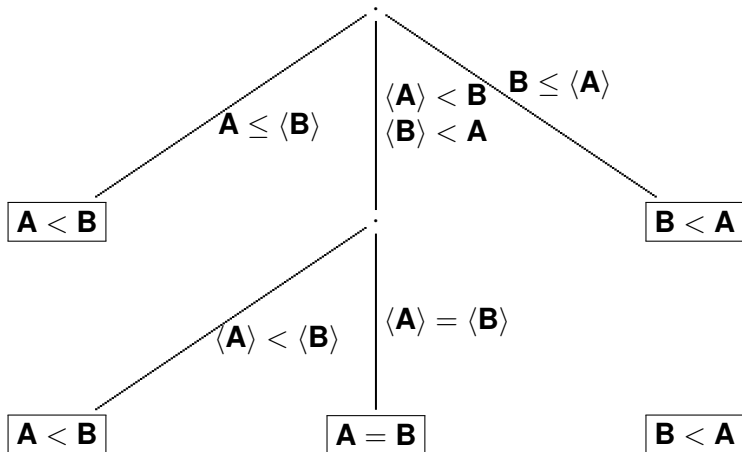
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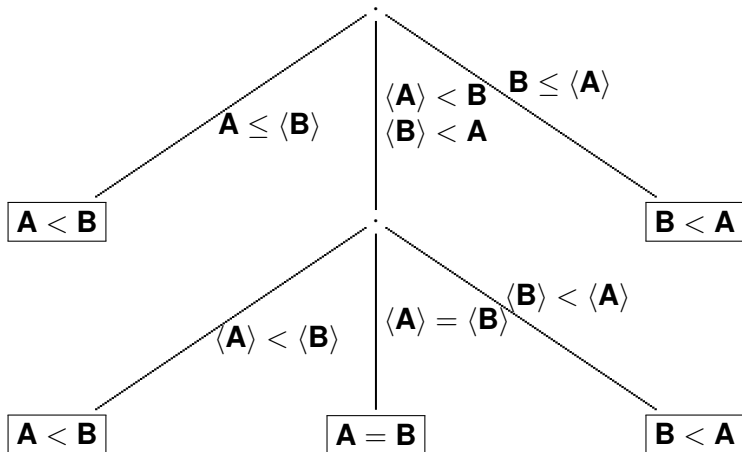
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Properties

- $<$ is decidable

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- There is a 1-1 correspondence between the finite trees and an initial segment of the ordinals

Some trees

$$\omega \times \omega = \omega$$

Some trees

$$\begin{array}{c} \cdot \\ \diagdown \quad \diagup \\ \cdot \quad \cdot \end{array} = \omega \qquad \begin{array}{c} \cdot \\ | \\ \cdot \\ \diagdown \quad \diagup \\ \cdot \quad \cdot \end{array} = \omega \cdot 2$$

Some trees

$$\begin{array}{ccc} \begin{array}{c} \cdot \\ \diagdown \quad \diagup \\ \cdot \end{array} = \omega & \begin{array}{c} \cdot \\ | \\ \cdot \\ \diagdown \quad \diagup \\ \cdot \end{array} = \omega \cdot 2 & \begin{array}{c} \cdot \\ | \\ | \\ | \\ \cdot \\ \diagdown \quad \diagup \\ \cdot \end{array} = \omega \cdot 3 \end{array}$$

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and some more

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More trees

$$\begin{array}{c} \cdot \\ \cdot \quad \cdot \\ \cdot \\ \cdot \end{array} \begin{array}{c} \cdot \\ \cdot \quad \cdot \\ \cdot \\ \cdot \end{array} = \epsilon_0$$
$$\begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \quad \cdot \\ \cdot \quad \cdot \\ \cdot \end{array} \begin{array}{c} \cdot \\ \cdot \quad \cdot \\ \cdot \\ \cdot \end{array} = \epsilon_1$$

Ordinal functions

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In the last equation there is a difference between the two sides — the left hand side has no fix points while the right hand side does. The two sides are equal if we jump over the fix points in the enumeration ω^{ω^α} .

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- $\begin{array}{c} \beta \quad \alpha \\ \swarrow \quad \searrow \\ \cdot \end{array} \sim \phi_{\alpha\beta}$

The Veblen function depends on how we start the function. It is too crude to have $x \mapsto \omega^x$ as an initial function. For more branchings in the tree we have Veblen functions with more arguments.

Why Γ_0 is not special

In the usual definition of Veblen function we start with $x \mapsto \omega^x$ and then have Γ_0 as the limit of $x \mapsto \phi_x 0$. Then Γ_0 behaves sort of like ϵ_0 . Instead of the binary trees going up to ϵ_0 we consider the ternary trees built up by

$$X, Y \mapsto \begin{array}{c} X \ Y \cdot \\ \diagdown \quad \diagup \\ \cdot \end{array}$$

Levels of reasoning

- Σ_1^0
 - to be a finite tree
 - the successor: $S \mapsto \overset{S}{!}$
 - Approximations of trees
 - Transfinite induction over binary trees
- Π_1^1
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The finite trees give the ordinals up to the small Veblen ordinal — that is the ordinal connected with Kruskals theorem.

Iterated inductive definitions

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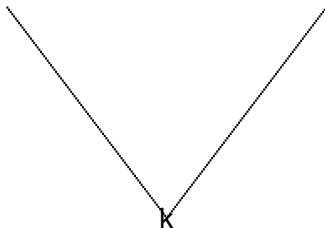
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Gaps

- Given a set of wellordered labels Λ
- Given a finite tree T and label i
- A proper subtree S of T is an i -subtree if
 - The downmost node of S has label i
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- This generalizes the immediate subtrees we have previously talked about
- $\langle T \rangle_i$ is the sequence of i -subtrees of T

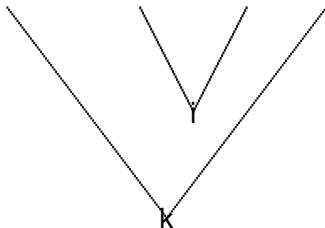
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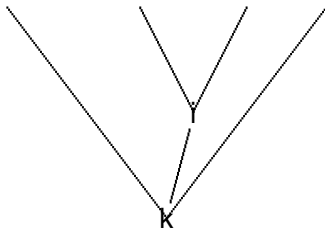
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Orderings

We define $<_i$ and $<_\infty$ by

$$S <_i T \Leftrightarrow S \leq_i \langle T \rangle_i \vee (\langle S \rangle_i < T \wedge S <_{i+} T)$$

$$S <_\infty T \Leftrightarrow \text{lexicographical ordered}$$

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- The label of the roots of S and T
- If the labels are both i , then we take the lexicographical ordering of the immediate subtrees of S and T in the ordering $<_i$

The ordinal universe

The natural numbers

0



The ordinal universe

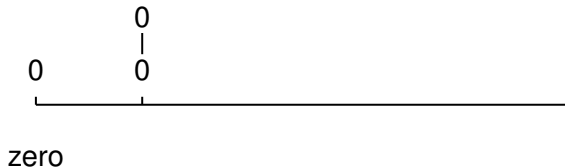
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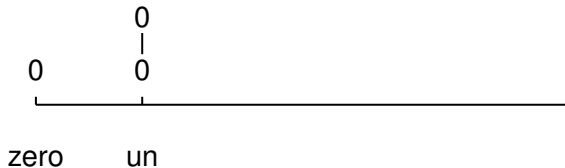
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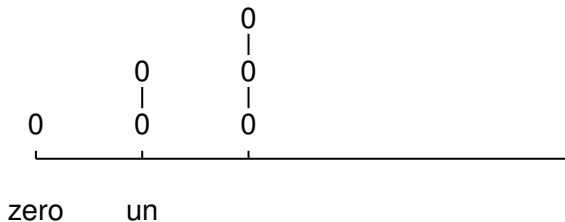
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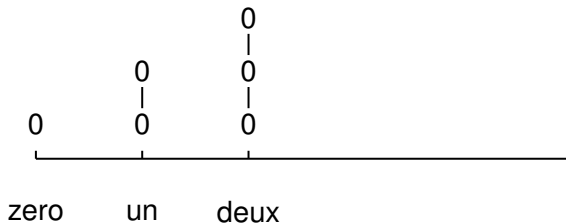
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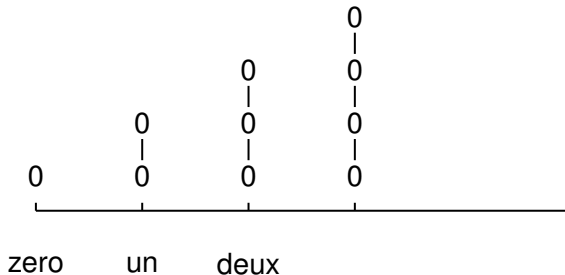
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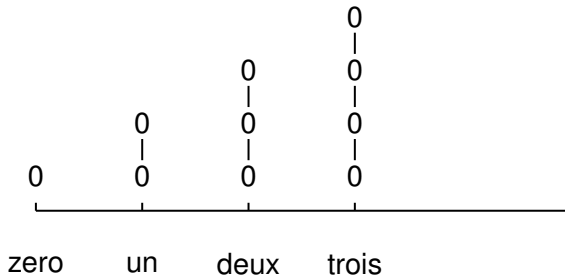
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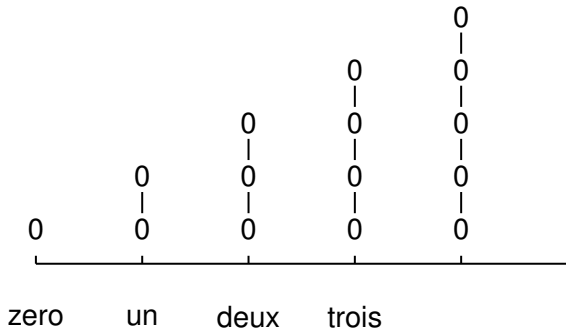
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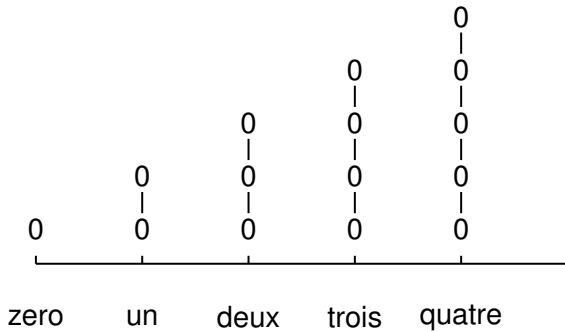
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Up to ϵ_0



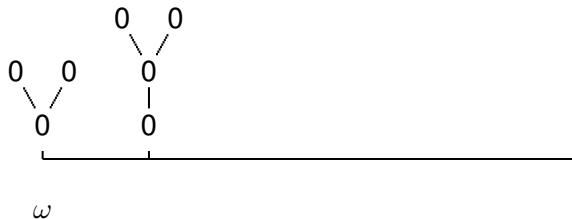
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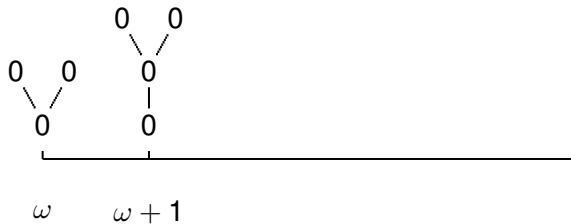
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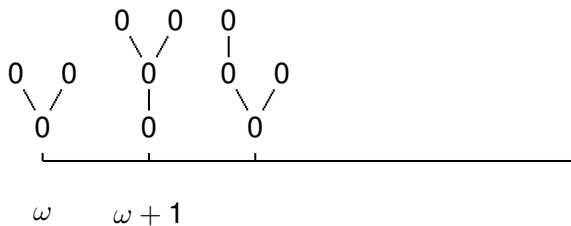
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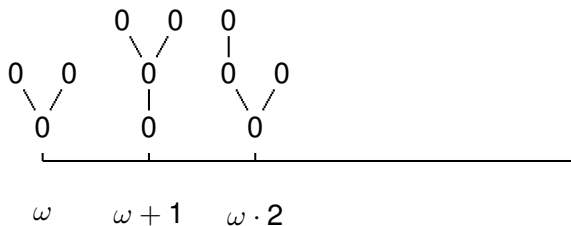
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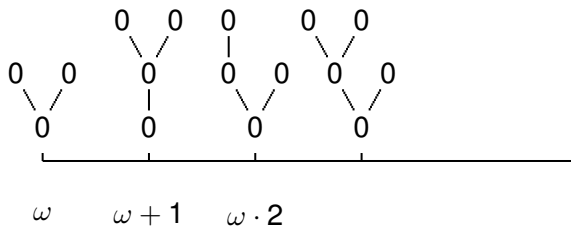
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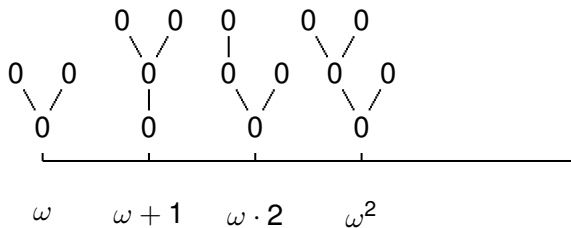
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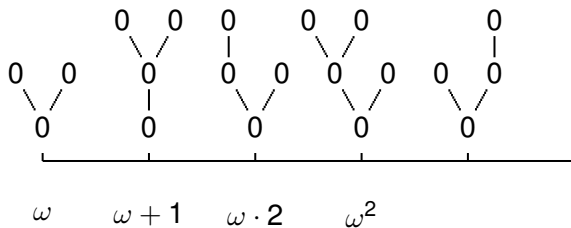
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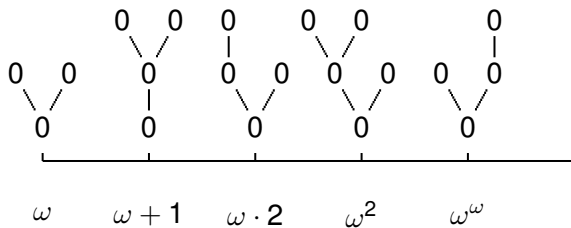
The ordinal universe

Up to ϵ_0



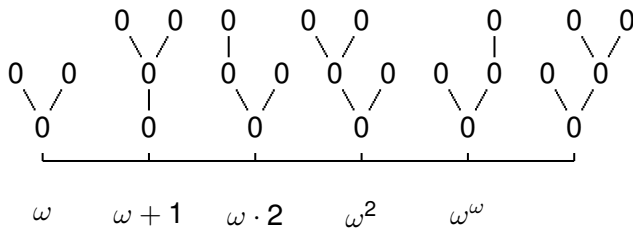
The ordinal universe

Up to ϵ_0



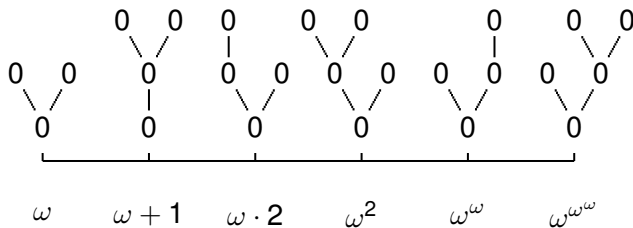
The ordinal universe

Up to ϵ_0



The ordinal universe

Up to ϵ_0



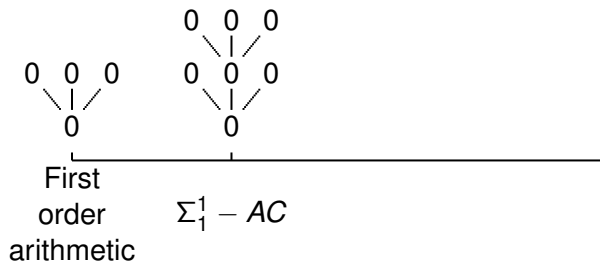
The ordinal universe

The predicative ordinals



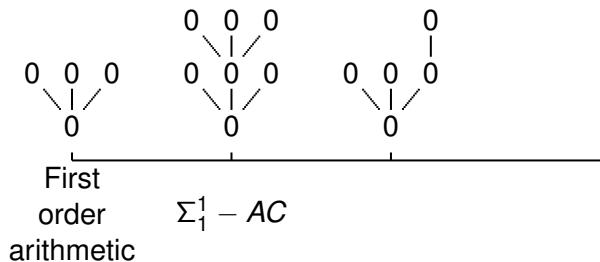
The ordinal universe

The predicative ordinals



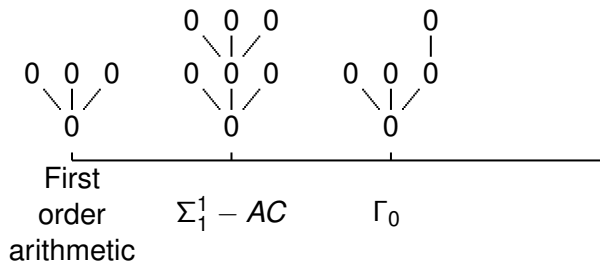
The ordinal universe

The predicative ordinals



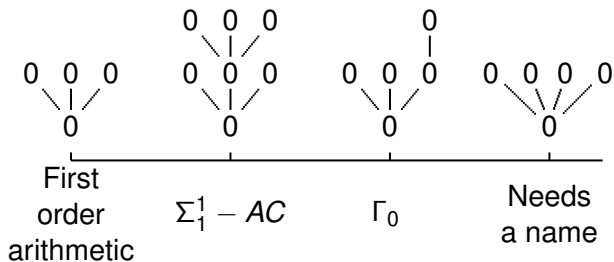
The ordinal universe

The predicative ordinals



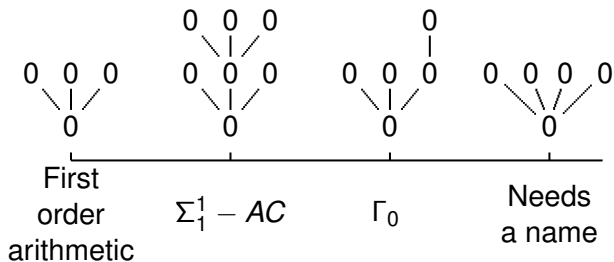
The ordinal universe

The predicative ordinals



The ordinal universe

The predicative ordinals



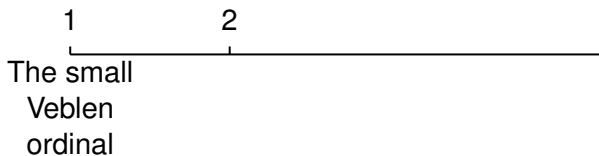
The ordinal universe

Beyond

1

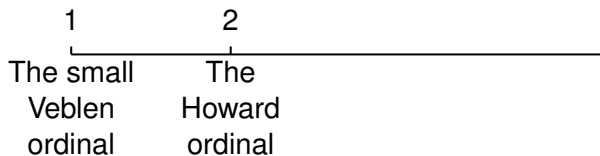
The ordinal universe

Beyond



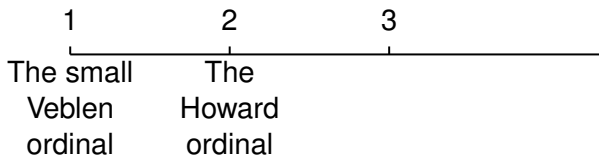
The ordinal universe

Beyond



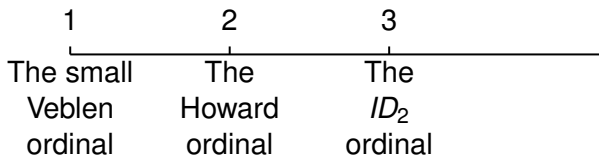
The ordinal universe

Beyond



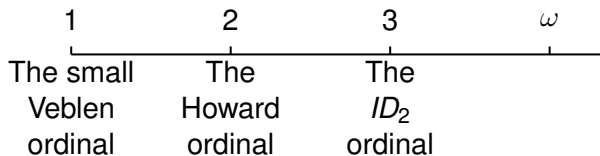
The ordinal universe

Beyond



The ordinal universe

Beyond



The ordinal universe

Beyond

